

# Cognitive and Academic Outcomes after Pediatric Liver Transplantation: Functional Outcomes Group (FOG) Results

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This multicenter study examined prevalence of cognitive and academic delays in children following liver transplant (LT). One hundred and forty-four patients ages 5–7 and 2 years post-LT were recruited through the SPLIT consortium and administered the Wechsler Preschool and Primary Scale of Intelligence, 3rd Edition (WPPSI-III), the Bracken Basic Concept Scale, Revised (BBCS-R), and the Wide Range Achievement Test, 4th edition (WRAT-4). Parents and teachers completed the Behavior Rating Inventory of Executive Function (BRIEF). Participants performed significantly below test norms on intelligence quotient (IQ) and achievement measures (Mean WPPSI-III Full Scale IQ = 94.7 ± 13.5; WRAT-4 Reading = 92.7 ± 17.2; WRAT-4 Math = 93.1 ± 15.4;  $p < 0.001$ ). Twenty-six percent of patients (14% expected) had 'mild to moderate' IQ delays (Full Scale IQ = 71–85) and 4% (2% expected) had 'serious' delays (Full Scale IQ ≤ 70;  $p < 0.0001$ ). Reading and/or math scores were weaker than IQ in 25%, suggesting learning disability, compared to 7% expected by CDC statistics ( $p < 0.0001$ ). Executive deficits were noted on the BRIEF, especially by teacher report (Global Executive Composite = 58;  $p < 0.001$ ). Results suggest a higher prevalence of cognitive and academic delays and learning problems in pediatric LT recipients compared to the normal population.

**Key words:** Cognition disorders, learning disorders, liver transplant, neuropsychological tests, pediatric liver disease, psychological aspects of organ transplantation

**Abbreviations:** ADHD, Attention deficit/hyperactivity disorder; BBCS-R/SRC, Bracken Basic Concept Scale Revised, School Readiness Composite; BRI, Behavioral

Regulation Index; BRIEF, Behavior Rating Inventory of Executive Function; CDC, Centers for Disease Control and Prevention; IQ, intelligence quotient; EF, executive function; FOG, Functional Outcomes Group; FSIQ, Full Scale IQ; GEC, Global Executive Composite; GLC, General Language Composite; HRQOL, health related quality of life; HZ, hertz; ICU, intensive care unit; IEP, individualized education plan; LD, learning disability; LT, liver transplant; MI, Metacognition Index; PIQ, Performance IQ; PS, Processing Speed; SPLIT, Studies of Pediatric Liver Transplantation; TBI, traumatic brain injury; VIQ, Verbal IQ; VRF, Validity Rating Form; WNL, within normal limits; WPPSI, Wechsler Preschool and Primary Scale of Intelligence; WRAT, Wide Range Achievement Test.

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## Introduction

Neurological injury early in life due to conditions such as perinatal complications, traumatic brain injury and cancer has the potential to inflict significant, long-lasting developmental consequences (1–4). Hepatic encephalopathy and other neurological insults associated with end-stage liver disease may have a similar potential. The majority of pediatric liver transplant recipients experience end-stage liver disease early in life, and in most large series, the median age at transplantation is less than 2 years (5,6). In the decades since the first pediatric liver transplantation (LT), major strides have been made in managing morbidity and limiting mortality in this patient group, but significant concerns remain regarding functional outcomes in children following LT, especially in the areas of cognitive function and school performance (7,8). Few studies have examined neurocognitive outcomes in pediatric patients with liver disease and transplantation. Nevertheless, evidence is mounting to suggest pediatric recipients of LT experience significant cognitive deficits ranging from a depression in overall intelligence quotient (IQ) to less obvious neuropsychological dysfunction (9–13). Mean IQ scores typically fall in the low average to average range, with overrepresentation at the lower end of the IQ spectrum. Early disease onset, poor nutritional status and growth deficits, and longer duration of illness prior to transplant have been implicated as factors

associated with poorer outcomes (14–16). A handful of studies have reported an increased prevalence of learning problems including below average academic achievement (9), IQ/achievement discrepancy (11), and parent report of learning disability and special education services (17). Although problems with attention and executive function (EF) have also been noted anecdotally, these domains have yet to be systematically examined in pediatric LT recipients. Experience in other pediatric disease groups would suggest these areas of function may be compromised as well (18–21).

Previous neurocognitive studies in pediatric LT recipients have been limited by significant methodological flaws. Primarily, data were derived from small, single-center samples that may result in site-specific findings and consequently limit generalizability to the larger population of patients. The only multisite study of pediatric LT patients reports on school outcomes based on parent report, not direct testing (17). Further, because single-center designs significantly limit sample size, samples have generally included patients who ranged widely in age. This necessitated combining scores from different measures of the same construct (e.g. IQ) which may or may not be psychometrically similar. The primary purpose of this study was to assess the prevalence of cognitive and academic delays following pediatric LT in a large, multicenter cohort of pediatric LT recipients utilizing the same standardized instruments at each testing time point. The age range of 5 up to 7 years was selected because school entry represents a time of significant new cognitive and learning challenges and this age range limited participants to a select group who had received transplants very early in life (under age 5). We chose to focus on children who had experienced advanced liver disease in early childhood since we hypothesized they would be particularly vulnerable to neurocognitive insult (22). A further advantage of the tight age range was participants were all transplanted in a contemporary time period between 1999 and 2007, limiting possible effects due to changes in standard of care over time.

## Materials and Methods

### Study population

Functional Outcomes Group (FOG) is an independently funded ancillary study of the SPLIT registry. Twenty medical centers elected to participate in the FOG study and patients at these centers were identified and recruited through the infrastructure of the SPLIT registry between June 1, 2005 and December 31, 2009. Eligible patients were pediatric single organ LT recipients who were (1) age 5 years, 0 months through 6 years, 11 months, 29 days at testing, (2) maintaining active follow-up in the SPLIT registry as defined by entry of follow-up data within 1 year either before or after the age eligibility window, (3) fluent in English, both patient and primary caregiver and (4) at least 2 years from their most recent LT. By definition, patients meeting these criteria all received LT prior to age 5 years. Patients with combined organ transplant or known uncorrectable vision or hearing impairment were excluded. Prior to testing, all patients underwent a hearing screen and those with uncorrected hearing loss between 500 and 4000 HZ

were excluded (23). Patients with serious neurological injury that would preclude participation in testing (e.g. no speech, severe motor deficits) or that could significantly affect validity (i.e. uncontrolled seizures, current evidence of hepatic encephalopathy) were also excluded.

### Study design

This study was designed as a longitudinal assessment of neurocognitive function beginning in the earliest primary school years, and continuing with follow-up testing 2 years later. This report includes data from the first testing time point. The study was approved by the Institutional Review Boards at participating centers and written informed consent was obtained prior to participation. Participants were recruited, consented, and tested at the transplant center where they received medical follow-up. Tests were performed or supervised by licensed psychologists. Results of Surveys of Health Related Quality of Life (HRQOL) were the focus of a separate report (24). Data pertaining to demographic and medical variables and school outcomes were obtained from the SPLIT registry (17).

### Instruments and testing procedure

The standardized testing battery included the Wechsler Preschool and Primary Scale of Intelligence, Third Edition (WPPSI-III) (25), the Word Reading and Math Computation subtests of the Wide Range Achievement Test, Fourth Edition (WRAT-4) (26,27), and the School Readiness Composite of the Bracken Basic Concept Scale, Revised (BBCS-R/ SRC) (28). Of note, the first eight patients in the study completed the previous edition of the WRAT and data from the two versions were pooled since item content is highly similar. Composite scores for the WPPSI-III including Full Scale IQ (FSIQ), Verbal IQ (VIQ), Performance IQ (PIQ), and Processing Speed (PS) were generated from the eight core subtests. Two optional subtests assessing vocabulary knowledge were also administered in order to obtain the General Language Composite (GLC). The normative population mean is 100 and the standard deviation is 15 for all scores.

Examiners at the individual centers completed a Validity Rating Form (VRF) following testing to provide information regarding factors that might have interfered with test administration. Data from cases where the examiner indicated serious concerns and data from cases in which a VRF was not completed (two cases) were not included in the final analysis. No more than five cases had serious concerns on each measure. Additional missing IQ and achievement data (no more than six cases on each measure) was due to examiner error or logistical problems resulting in incomplete test administration.

Parents and teachers completed the Behavior Rating Inventory of Executive Function (BRIEF) (29). The BRIEF validated for children age 5–18 provides ratings of EF using questions that are clearly tied to real life situations. Sample questions include: 'Underestimates time needed to finish tasks', 'leaves a trail of belongings wherever he/she goes', 'forgets what he/she was doing', 'forgets to hand in homework, even when completed', 'acts too wild or out of control'. This measure includes eight subscales and yields an overall Global Executive Composite (GEC), and two summary indices; Metacognition (MI) and Behavioral Regulation (BRI). The BRI is composed of the Inhibit, Shift, and Emotional Control subscales, while the MI is composed of Initiate, Working Memory, Plan/Organize, Organization of Materials, and Monitor subscales. This measure yields T scores, with higher scores indicating more concerns. The normative population mean is 50 and the standard deviation is 10.

In order to highlight the prevalence of intellectual delays in the sample, patients were divided into subgroups based on FSIQ scores. We labeled patients with an IQ score falling between one and two standard deviations below the published mean of 100 as 'mild to moderately' delayed (FSIQ = 71–85), and those with IQ scores more than two standard deviations below the mean as 'seriously' delayed (FSIQ  $\leq$  70). Patients in the

'seriously' delayed category had IQ scores typically indicative of mental retardation (30) while those in the 'mild to moderately' delayed category had IQ scores falling in the range of borderline intellectual functioning to the lower end of the low average range (25). We operationally defined 'learning disability' (LD) as a discrepancy of 15 points or more (one standard deviation) between intellectual ability (WPPSI-III FSIQ) and achievement (WRAT-4 Reading or Math Computation) (11,30). Data from the previously reported HRQOL measurements in this population, specifically the School Function Sub-scale of the PedsQL™4.0 Generic core scale were also included in the analysis comparing indicators of school function between FSIQ subgroups.

### Statistical analysis

Eligible-enrolled and eligible—but not enrolled patients were compared by chi square statistics. Of note, nonparticipants who were eligible for less than 30 days due to an intervening birthday were not included in the participant versus eligible nonparticipant comparison. Patients' age-normed standard scores on the WPPSI-III, BBCS-R/SRC and WRAT-4 were converted into z scores for each subject by comparing them to the normal population mean and standard deviation. Comparisons were made using the Student's t-test. The Type I error rate was maintained at 0.05 by the Hochberg adjustment for multiple comparisons; an adjustment was made separately for each instrument (31). To determine the magnitude of the differences, effect sizes were calculated. Effect sizes for differences in means are designated as small (0.20), medium (0.50) and large (0.80) in magnitude (32). Categorical comparisons of IQ group, learning disability, BRIEF and education variables were made using chi square goodness of fit statistics or Fisher's exact test as appropriate.

## Results

### Participant characteristics

Of the 456 SPLIT patients eligible for enrollment during the study period, 144 (32%) participated. Reasons for failure to participate were available for 51% of nonparticipants and included time burden (57 patients), distance from the medical center (25), no clinic visit during eligibility window (44), refused consent (12) and other/unknown (22). Reasons for nonparticipation in the remaining 49% of eligible subjects were not gathered since they were still eligible for enrollment at the time of this analysis.

Participants and nonparticipants did not differ on basic demographic or medical variables including age at transplant, gender, race, primary diagnosis, donor type, primary insurance at transplant, or primary caregiver's education. Participants were more often transplanted prior to 2002 than nonparticipants ( $p = 0.04$ ). Median age at transplant for participants was 1.19 years (range 0.07–4.75) and median time since transplant was 4.87 years (range 2.03–6.68). Table 1 provides descriptive statistics for participants on select transplant variables and Table 2 details information regarding school attendance and resource utilization available for 107 (74%) of the 144 patients. 26 (25%) patients had missed 11 or more school days due to illness or doctor visits within the past 12 months. Of note, one child was home schooled, 70 (67%) were in kindergarten, 31 (30%) were in first grade, one patient was in second grade and four were either in preschool or parents did not specify a grade on the data collection form. Eight patients (8%) had been held back or repeated a grade, and 33 (31%)

**Table 1:** Patient characteristics

	Total (n = 144)	
	Median	Range
Age at testing (years)	6.26	5.01–6.99
Age at LT (years)	1.19	0.07–4.75
Interval from LT (years)	4.87	2.03–6.68
PELD score at LT (9% missing)	16.30	–9.69–46.58
Height Z score at LT	–1.71	–7.80–6.08
Weight Z score at LT	–1.28	–8.94–2.19
INR at LT	1.3	0.8–6.1
Albumin at LT (g/dL)	3.1	1.5–4.8
Total bilirubin at LT (mg/dL)	11.1	0.0–58.0
Total bilirubin at testing (mg/dL) <sup>1</sup>	0.5	0.1–5.6
Albumin at testing (g/dL) <sup>1</sup>	4.2	2.8–5.1
	N	%
Female	83	58
Race		
White	84	58
Black	21	15
Hispanic	21	15
Other or missing (n = 1)	18	12
Primary diagnosis		
Biliary atresia	84	58
Acute liver failure	14	10
Other cholestatic	20	14
Metabolic <sup>2</sup>	14	10
Other	12	8
Status at transplant		
ICU	30	21
Hospitalized	21	15
Home	93	65
Number of liver transplants		
1	137	95
2	6	4
3	1	1
Initial immunotherapy <sup>3</sup>		
Cyclosporine	31	22
Tacrolimus	94	65
Induction therapy		
None	100	69
Yes	44	31
Total number of rejection episodes		
0	60	42
1	52	36
2–7	32	22

<sup>1</sup>Values missing on 5% of cohort.

<sup>2</sup>Metabolic diagnosis included Urea cycle defects (n = 2), Wilson's disease (n = 0), alpha-1-anti-trypsin deficiency (n = 3), tyrosinemia (n = 2), neonatal hemochromatosis (n = 1), inborn error in bile acid metabolism (n = 1), other metabolic (n = 5).

<sup>3</sup>Values missing on 13% of cohort.

had received special education services during the past 12 months.

### Prevalence of cognitive delays

Table 3 summarizes intelligence and academic achievement scores and Figure 1 displays the distribution of these scores. Patients scored significantly lower than test norms on the WPPSI-III FSIQ, VIQ and PIQ composites

**Table 2:** Attendance and special educational resource utilization

	Total	
	N	%
Total	107	100
History of head start or early intervention program	50	47
History of special education or resource educational services as recommended by Individual Educational Plan (IEP)	30	28
History of 504 plan	7	6
Full days of school missed during past 12 months due to illness or doctor visits		
0–4 days	48	46
5–10 days	31	30
11–20 days	15	14
21–30 days	7	7
31+ days	4	4
Special education support received during the past 12 months	33	31
Speech/language	28	85
Reading/language arts	18	55
Physical occupational therapy	12	36
Math	8	24
Other (social skills/sensory diet)	3	9
Special education <50% of time	16	48
Special education >50% of time	10	30
Special education timing not specified	7	21
Attention deficit/ hyperactivity disorder diagnosis	5	5
Taking medication for ADHD	2	
Learning disability diagnosis	5	5
Mental retardation diagnosis	3	3

( $p \leq 0.0001$ ). PSQ and GLC did not differ significantly from norms. As seen in Figure 2, significantly more patients fell in the 'mild to moderately' or 'seriously' delayed groups than expected ( $p < 0.0001$ ). Twenty-six percent of our sample were 'mild to moderately' delayed versus 14% expected, and 4% of our sample were 'seriously' delayed (FSIQ  $\leq 70$ ) versus 2% expected. Most patients (76%) had similar VIQ and PIQ scores ( $\leq 15$  point discrepancy), and of the remaining patients, equal numbers had higher scores on each scale.

#### **Prevalence of academic delays and learning disability**

As seen in Table 3, both Reading and Math Computation subtests on the WRAT-4 were significantly below test

norms ( $p < 0.0001$ ), although the mean School Readiness Composite of the BBCS-R was not. There were 25 patients (19%) with a pattern of Reading LD, 17 patients (13%) with Math LD and eight patients (6%) with both Reading and Math LD. Figure 3 shows that significantly more patients ( $N = 34$ , 25.3%) had profiles suggesting Reading and/or Math LD in contrast to the expected rate of 6.7% in the general population based on Centers for Disease Control and Prevention (CDC) statistics for ages 5–11 (33) ( $p < 0.0001$ ).

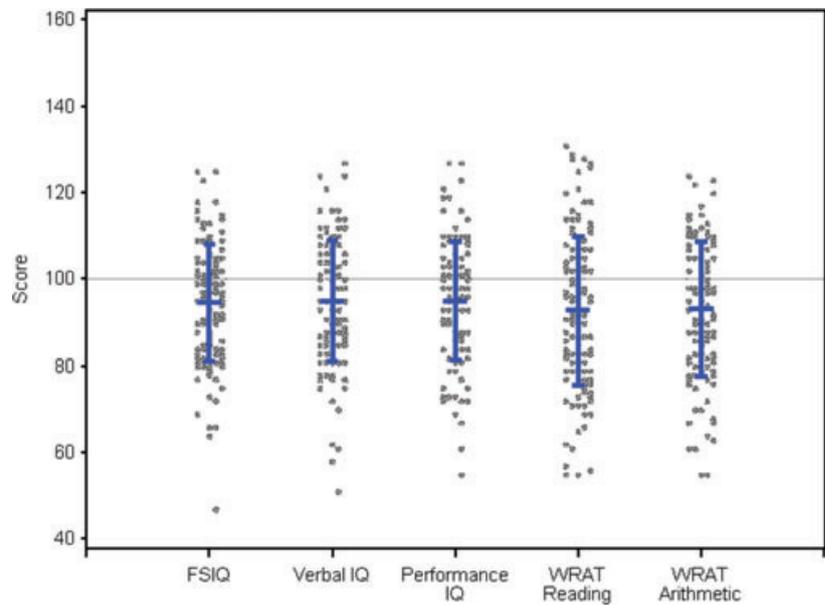
#### **Deficits in executive function**

**Parent BRIEF:** The BRIEF parent report was completed on 139 patients, but data from six patients were removed

**Table 3:** IQ and achievement scores

Variable	Sample size	Mean $\pm$ SD	Adjusted significance level	Effect size
WPPSI-III				
Full scale IQ	134	94.7 $\pm$ 13.5	<0.0001	–0.35
Verbal IQ	134	95.0 $\pm$ 13.8	0.0001	–0.33
Performance IQ	134	94.9 $\pm$ 13.5	0.0001	–0.34
Processing speed	132	98.3 $\pm$ 15.7	NS	–0.11
General language composite	135	98.7 $\pm$ 14.8	NS	–0.09
WRAT-4				
Reading	140	92.7 $\pm$ 17.2	<0.0001	–0.49
Math computation	139	93.1 $\pm$ 15.4	<0.0001	–0.46
BBCS-R				
School readiness composite	138	98.2 $\pm$ 16.5	NS	–0.12

All measures have a mean = 100 and SD = 15 for the normal population.



**Figure 1:** The figure shows the distribution of patient scores on the WPPSI-III and WRAT-4. Test norms for each measure are  $100 \pm 15$ .

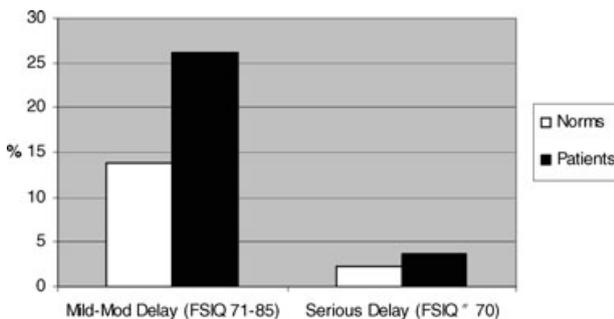
from the analysis due to elevated inconsistency. Pediatric LT patients demonstrated significantly lower working memory abilities compared to the standard normative population ( $p < 0.005$ , effect size = 0.40). However, GEC, MI and BRI were not significantly different from the normative population, see Table 4.

**Teacher BRIEF:** The Teacher BRIEF was completed for 77 patients. Reasons for missing forms were as follows: not administered due to summer break (40), forms not returned (18), not attending school (2), patient home schooled (4), parent refused (1) and unknown (2). An additional five cases were excluded from analysis due to inconsistent scoring. All Teacher BRIEF T scores for the pediatric liver transplant sample were significantly different from the normative population ( $p < 0.005$ ), see Table 5. The majority

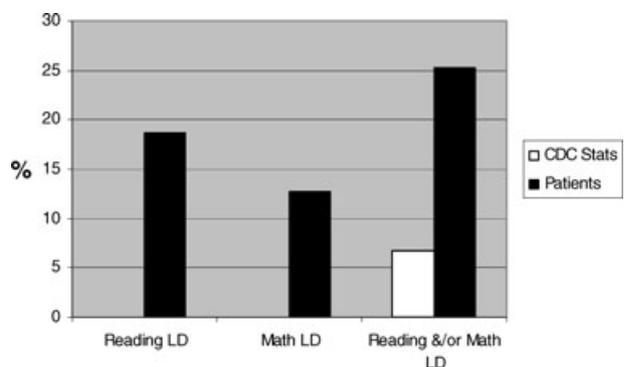
of the effect sizes were large in magnitude. Similar to the Parent BRIEF scores, the largest effect size is evidenced on the Working Memory subscale (effect size = 0.94).

**FSIQ and indicators of school function**

We also examined how EF, as measured by the GEC score of the BRIEF, the PedsQL™ 4.0 School Function subscale, and attendance varied by FSIQ subgroup, see Table 6. Among children with a FSIQ  $\geq 86$ , 9.3% by parent report and 18.8% by teacher report had abnormal GEC scores consistent with clinically relevant EF deficits. EF deficits were more prevalent in the lower IQ groups. Likewise, PedsQL™ 4.0 School Function scores and special educational resource utilization varied by FSIQ group. There was no relationship between FSIQ and school attendance.



**Figure 2:** The proportion of patients categorized based on WPPSI-III Full Scale IQ falling 1–2 standard deviations below the mean ('Mild to Moderate Delay') and two or more standard deviations below the mean ('Serious Delay') was compared to expected rates based on the normal sample ( $p < 0.0001$ ).



**Figure 3:** Patients with scores on WRAT Reading and/or Math falling one or more standard deviations (15 or more points) below WPPSI-III Full Scale IQ are described as having a Learning Disability profile (LD). The proportion of patients with LD is compared to published CDC statistics ( $p < 0.0001$  for both comparisons).

**Table 4:** Parent BRIEF T scores and comparison to normative population

	N	Mean	SD	Effect size	Adjusted significance level
Behavioral regulation index	133	51.50	11.37	0.150	NS
Metacognition index	130	52.31	11.23	0.231	NS
Global executive composite	130	52.39	11.40	0.239	NS
Inhibit score	133	52.51	11.03	0.251	NS
Shift score	133	50.33	10.70	0.033	NS
Emotional control score	133	51.04	11.39	0.104	NS
Initiate score	133	50.08	10.91	0.008	NS
Working memory score	133	54.00	11.83	0.400	<0.005
Plan/organize score	130	52.17	11.55	0.217	NS
Organization of materials score	133	51.78	9.60	0.178	NS
Monitor score	132	51.33	12.54	0.133	NS

The normative population for the BRIEF has a mean T score of 50 and a standard deviation of 10. Higher scores signify lower function. The Hochberg adjustment was used to control for multiple comparisons. Effect sizes designated as small (0.20), medium (0.50) and large (0.80). NS = not significant.

**Table 5:** Teacher BRIEF T scores and comparison to normative population

	N	Mean	SD	Effect size	Adjusted significance level
Behavioral regulation index	72	56.71	15.24	0.671	<0.005
Metacognition index	70	58.21	14.75	0.821	<0.001
Global executive composite	70	58.14	15.01	0.814	<0.001
Inhibit score	72	56.46	15.03	0.646	<0.005
Shift score	72	54.07	11.55	0.407	<0.005
Emotional control score	72	56.72	17.23	0.672	<0.005
Initiate score	72	58.40	13.97	0.840	<0.0001
Working memory score	72	59.38	15.16	0.938	<0.0001
Plan/organize score	70	55.59	13.96	0.559	<0.005
Organization of materials score	72	56.56	12.61	0.656	<0.001
Monitor score	72	57.54	15.45	0.754	<0.001

The normative population for the BRIEF has a mean T score of 50 and a standard deviation of 10. The Hochberg adjustment was used to control for multiple comparisons. Effect sizes designated as small (0.20), medium (0.50) and large (0.80). NS = not significant.

### **Post hoc analysis of outcomes by center participation rate**

Considering the participation rate was lower than 50% overall, a post hoc analysis comparing primary outcomes between patients at high participation rate centers ( $\geq 50\%$ ) and all other centers was conducted. As seen in Table 7, there were no significant differences between FSIQ or WRAT-4 scores based on center participation, thus making ascertainment bias by center recruitment strategies or logistics unlikely.

## **Discussion**

The findings indicate that not only did participants show significantly lower intellectual ability overall compared to the normal population, but twice as many patients as expected evidenced IQ delays of one or more standard deviations. Twenty-six percent of our sample had mild to moderate cognitive delay (WPPSI-III FSIQ between 71 and 85) and 4% had serious delay (FSIQ  $\leq 70$ ). The observed intel-

lectual deficits would be expected to hinder academic performance as well as independent functioning long-term. Reading and math scores were significantly below test norms, and comparison of IQ and academic achievement revealed that even at this early age, 25% of patients had profiles suggesting reading and/or math LD. In addition, nearly 19% of patients in the higher IQ group and 43–50% of those with IQ  $< 86$  had clinically relevant EF deficits that were apparent in the classroom. The high prevalence of academic delays and EF deficits in the sample is all the more striking given participants were just starting school.

The rate of LD in this sample is much higher than CDC reports in the general population (7%), but consistent with previous, smaller reports of cognitive outcomes in pediatric LT recipients (12). Although the patients demonstrated adequate mastery of simple school readiness concepts such as recognition of colors, numbers and shapes as measured by the BBCS-R and single word vocabulary on the WPPSI-III GLC, the downward shift of IQ, the discordance between IQ and academic achievement, and the prevalence

**Table 6:** Distribution of full-scale IQ scores by selected indicators of lower school function

	Sample size	Standard FSIQ score $\geq$ 86	Standard FSIQ score 71–85	Standard FSIQ score $\leq$ 70	p-Value
Executive function					
BRIEF parent report	122	86	31	5	0.008
Parent GEC elevated (T score $\geq$ 65)	17	9.3%	19.4%	60.0%	
Parent GEC WNL (T score <65)	105	90.7%	80.6%	40.0%	
BRIEF teacher report	64	48	14	2	0.110
Teacher GEC elevated (T score $\geq$ 65)	16	18.8%	42.9%	50.0%	
Teacher GEC WNL (T score <65)	48	81.2%	57.1%	50.0%	
PedsQL™ 4.0 generic core scale	124	88	31	5	0.005
School function subscale $\leq$ 25th percentile	29	15.9%	38.7%	60.0%	
School function subscale >25th percentile	95	84.1%	61.3%	40.0%	
Education form available	134	94	35	5	0.400
Yes	98	73.4%	68.6%	100.0%	
No	36	26.6%	31.4%	0.0%	
Attended school in past 12 months	98	67	24	5	0.494
Yes	96	97.1%	100.0%	100.0%	
No	2	2.9%	0.0%	0.0%	
Special education support during the past 12 months	96	67	24	5	<0.001
Support received	29	22.4%	37.5%	100.0%	
No support received	67	77.6%	62.5%	0.0%	
School attendance during past 12 months	96	67	24	5	0.591
Missed $\leq$ 10 school days	73	74.6%	75.0%	100.0%	
Missed >10 school days	23	25.4%	25.0%	0.0%	

of EF deficits suggest this group is already significantly delayed in early academic skill building and cognitive development. An established diagnosis of learning disability or mental retardation was only reported for 5 and 3% of the group, respectively; however, 31% had received special education support during the previous 12 months. This suggests learning problems had already been recognized by the school system in many cases.

This study provides the first evidence for EF deficits in pediatric LT patients with deficits reported by multiple informants (parents and teachers). Executive skills are vital to the learning process. Teacher report on the BRIEF highlighted the most dramatic concerns. This suggests teachers' input, which is often overlooked in neuropsychological research due to logistical challenges in obtaining it, is critical in defining the scope of the problem. The reason for elevated concerns among teachers as compared to parents is unclear. This finding may reflect the young age of participants, with about two-thirds in kindergarten. At this early elementary level, EF demands are likely to be greater in the classroom than at home (e.g. limited homework requirements). Problems with EF can have a significant im-

act on academic functioning in later childhood and on job performance and independent living skills in adulthood. In children with various neurological insults (TBI, cancer treatment), EF deficits often emerge as a function of age, in a pattern labeled 'growth into deficits' (34). In this scenario, a neurological insult adversely impacts EF, but the deficit does not become apparent until the demands are sufficiently high, increasing as the child ages.

Several single center studies have suggested developmental delay is prevalent in children who have survived LT, especially among patients with advanced liver disease early in infancy (12,13,16,35). This multisite design allowed us to determine the prevalence of cognitive delay in a large patient group that we believe is representative of the general population of children who receive LT at this age across North America. The distribution of parental education in our group was similar to or higher than that of the WPPSI-III standardization sample (25) with 70% of our sample completing at least some college or beyond compared to 60% in the WPPSI-III standardization sample. This suggests the IQ deficits found cannot be attributed to lower socioeconomic status. Cognitive testing was

**Table 7:** IQ and achievement scores by site participation rate

Site participation rate	N	WPPSI-III FSIQ		WRAT-Reading		WRAT-Math	
		Mean	Standard error	Mean	Standard error	Mean	Standard error
$\geq$ 50%*	60	92.5	14.1	94.4	18.2	94.3	18.6
<50%	84	96.2	12.9	91.6	16.5	92.2	12.8
T-test p-values	144	p = 0.1144		p = 0.4080		p = 0.1927	

performed at least 2 years after LT to minimize the impact of incomplete rehabilitation following the procedure and patients with uncontrolled seizures or current evidence of hepatic encephalopathy were excluded. Language factors were controlled by limiting participants to those who were fluent in English and had passed a hearing screen. Another important methodological advantage of this study was the use of a small age range allowing use of a consistent test battery for all patients, which resulted in less variability in time since transplant, era of transplant and age at transplant. Our findings confirm developmental delay is a common problem for patients that receive LT in early childhood even in contemporary experience.

This cohort was selected to include patients who had all received LT prior to 5 years of age, with 57 (40%) being transplanted prior to 12 months of age. Hepatic encephalopathy, chronic malnutrition and other aspects of advanced liver failure are hypothesized to have a greater impact on the developing brain of an infant as compared to an older child. This report provides strong evidence that children who have received LT in infancy and early childhood are at high risk for cognitive delays and learning problems, well after the initial posttransplant period. Deficits in these areas, first recognized in pediatric LT patients more than 20 years ago, persist as important limitations to optimal long-term outcomes. These findings suggest early screening for cognitive delay at or before the age of school entry can help identify the subpopulation of patients that will require educational interventions and special support services. Such services are most effective when delivered early in a child's school experience, when there is more potential for neural plasticity (36,37). Considering the large percentage of LT patients with adverse cognitive outcomes, it would be reasonable to support policies that fund intervention services at an even younger age, similar to the approach that has been taken for preterm infants (38).

We accurately predicted less than 60% of eligible patients would participate and thus chose to recruit patients from a large number of centers in order to yield the projected sample size. Despite the relatively low recruitment rate, comparisons suggest our sample was not biased by important demographic or medical factors, or by center-specific recruitment practices and logistics. Although parents of LT recipients expressed sincere interest in issues surrounding school performance, many chose not to participate, citing problems related to travel, time off from work and school absence as a deterrent. Nevertheless, comparison between participants and nonparticipants did not suggest bias on the basis of demographic factors, and our sample appears to be similar to the full SPLIT cohort and the IQ standardization sample in important respects. Comparison of special education resource utilization of this sample with the full SPLIT cohort reveals 31% of these participants versus 34% of the full SPLIT cohort (ages 6–11 years) were receiving special educational support (Individualized Educational Plan [IEP]) (17) Likewise 36% of the

participants had a history of evaluation for an IEP versus 35% of patients 6–11 years of age in the overall SPLIT cohort. Thus, it would seem that this patient group does not have an overrepresentation of LT recipients with academic problems.

This report includes the preliminary findings of a longitudinal study that will include testing at a second time point (2 years later) to help establish whether deficits observed at this early age are static or progressive. Also, future multivariate modeling of risk factors may help determine if pre- or posttransplant variables have the largest impact. Since one-fourth of the sample missed more than 10 school days in the prior 12 months, it will be important to consider the potential contribution of missed school days to cognitive and academic outcomes. Confirming the prevalence of neurocognitive deficits in older recipients and determining predictors of these functional outcomes will be key in driving modifications in medical care and public policy to optimize post-LT quality of life.

In summary, we report the results of the first multicenter study to examine neurocognitive functioning in children following LT. Early school-age children who had received LT prior to 5 years of age displayed twice the rate of intellectual delay and three times the rate of learning disability compared to the general population. Classroom performance suggested EF deficits are prevalent even at this early age. Analyses are in progress in this longitudinal study to assess the developmental course of these delays over time and to identify risk factors that predict adverse cognitive and academic outcomes.

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## Disclosure

The authors of this manuscript have no conflicts of interest to disclose as described by the *American Journal of Transplantation*.

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